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Date of Deposit: January 2, 2002

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**BOX PCT
Commissioner of Patents and Trademarks
Washington, D.C. 20231**

Case Number: P01,0300

International Application No.
PCT/DE00/00783

International Filing Date
13 March 2000

Priority Date Claimed
24 March 1999

U.S. Application No.
09/937,290

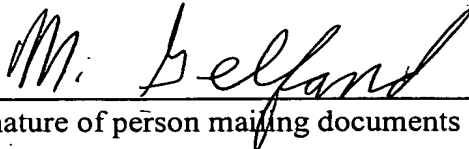
Title: "ORGANIC ELECTROLUMINESCENT COMPONENT"

Applicant(s): Andreas Kanitz and Matthias Stössel

Enclosed are the following documents:

Form PTO-1390 in duplicate;
Response to Notification of Missing Requirements (including copy of Notification);
Executed Declarations (two);
Government surcharge - \$130.00;
Postcard.

**Executed Assignments (2), PTO 1595 form, \$40.00
filing fee, postcard, in attached envelope to be
forwarded to the Assignment Branch.**



Signature of person mailing documents and fee

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

Rec'd PCT/PTO 2 JAN 2002
P-01,0300

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/937,290

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/DE00/00783

March 13, 2000

March 24, 1999

TITLE OF INVENTION "ORGANIC ELECTROLUMINESCENT COMPONENT"

APPLICANT(S) FOR DO/EO/US Andreas Kanitz and Matthias Stössel

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **(2 separate Declarations)**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; **(PTO 1449, Prior Art, Search Report)**.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
(SEE ATTACHED ENVELOPE)
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ Response to Notification of Missing Requirements (including copy of Notification)
 - b. ☒ EXPRESS MAIL #EL843746088US dated January 2, 2002

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.53)
09/937,290

INTERNATIONAL APPLICATION NO.
PCT/DE00/00783

ATTORNEY'S DOCKET NUMBER
P-01,0300

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ... \$670.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$760.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all
claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$130.00

Claims

Number Filed

Number
Extra

Rate

Total Claims

18 - 20 =

0

X \$18.00

\$

Independent Claims

1 - 3 =

0

X \$80.00

\$

Multiple Dependent Claims

\$270.00 +

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 130.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also
be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$ 130.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 130.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

**SEE ATTACHED
ENVELOPE**

TOTAL FEES ENCLOSED =

\$ 130.00

Amount to be
refunded

\$

charged

\$

a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A
duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
Customer Number 26574


SIGNATURE

James D. Hobart

NAME

24,149

Registration Number

Rec'd PCT/PTO 2 JAN 2002

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**IN THE UNITED STATES ELECTED OFFICE OF
THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY - CHAPTER II**

5 **RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER
35 USC §371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

APPLICANTS: Andreas Kanitz and Matthias Stössel

ATTORNEY

DOCKET NO.: P-01,0300

SERIAL NO.: 09/937,290

EXAMINER:

10 FILING DATE: ART UNIT:

INTERNATIONAL APPLICATION NO.: PCT/DE00/00783

INTERNATIONAL FILING DATE: 13 March 2000

INVENTION: "ORGANIC ELECTROLUMINESCENT COMPONENT"

BOX PCT

15 Assistant Commissioner for Patents
Washington, D.C. 20231

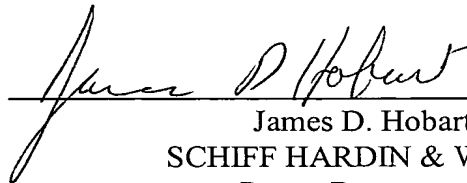
S I R:

20 In response to the Notification of Missing Requirements under 35 USC 371
in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed
November 19, 2001, applicants are attaching herewith two Combined Declarations
for Patent Application and Power of Attorney, which identify the PCT Application
PCT/DE00/00783 of 13 March 2000, the first of these two Declarations being signed
by Andreas Kanitz on October 1, 2001 and the second of these two Declarations
being signed by Matthias Stoessel on October 10, 2001.

Also attached herewith is the government surcharge of \$130.00. In view of this, it is submitted that the application papers are now complete and it is requested that a Notification of Acceptance be issued.

Respectfully submitted,

5

 (Reg. No. 24,149)

James D. Hobart
SCHIFF HARDIN & WAITE
Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606
Telephone: (312) 258-5781
Customer Number 26574

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DATED: January 2, 2002